

FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. <div style="border: 1px solid black; padding: 2px; text-align: center;">136299</div>		SERIAL NO. <div style="border: 1px solid black; padding: 2px; text-align: center;">20/ 674376</div>			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u> (Use several sheets if necessary)				Applicant <div style="border: 1px solid black; padding: 2px; text-align: center;">Alok M. Srivastava et al.</div>		Filing Date <div style="border: 1px solid black; height: 20px;"></div>		Group <div style="border: 1px solid black; height: 20px;"></div>	
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
Q	AA 4 2 6 2 2 3 1	04/14/81	Anderson et al.	—	—				
	AB 5 6 2 4 6 0 2	04/29/97	Lenox et al.	—	—				
	AC 5 9 5 2 7 9 1	09/14/99	Watanabe et al.	—	—				
	AD 5 9 5 8 3 6 1	09/28/99	Laine et al.	—	—				
	AE 5 9 5 9 4 0 5	09/28/99	Soules et al.	—	—				
	AF 6 0 5 1 9 2 2	04/18/00	Schlejen et al.	—	—				
	AG 6 1 3 7 2 3 6	10/24/00	Michiels et al.	—	—				
	AH								
	AI								
	AJ								
	AK								
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
						YES	No		
Q	AL 0 9 9 3 0 2 0	09/22/99	EPO ✓	—	—				
Q	AM 0 2 2 0 6 9 6	09/07/01	PCT	—	—				
Q	AN 0 2 2 0 6 9 5	09/08/01	PCT ✓	—	—				
	AO								
	AP								
OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)									
Q	AR	US 2003/0011310A1 Patent Application Publication, Juestel et al. -Published Jan. 16, 2003							
Q	AS	US 2002/0185960A1 Patent Application Publication, Setlur et al. - Published Dec. 12, 2002							
Q	AT	US 2002/0171356A1 Patent Application Publication, Setlur et al. - Published Nov. 21, 2002							
EXAMINER 				DATE CONSIDERED 11/15/04					
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						YES	No
	BL						
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OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

6	BR	US 2003/0032192A1 Patent Application Publication - Haubold et al. - Published Feb. 13, 2001
Q	BS	R. Baranwal et al., "Flame Spray Pyrolysis of Precursors as a Route to Nano-Mullite Powder: Powder Characterization and Sintering Behavior", J. Am. Ceram. Soc., Vol. 84, 951-961 (2001)
Q	BT	W. Que et al., "Preparation and Characterization of Erbium Oxalate and Erbium Oxide Nanoparticles by Microemulsion Technique", Mat. Sci. & Eng. C, Vol. 16, 51-54 (2001)

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						YES	No
	CL						
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	CO						
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OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

Q	CR	R. Subramaman et al., "Synthesis of Nanocrystalline Ytria by Sol-Gel Method", Mat. Lett., Vol. 48, 342-346 (2001)
Q	CS	R. Ramesh et al., "Optical Properties of Ce3+ in Self-Assembled Strontium Chloro(hydroxy) Apatite Nanocrystals", J. Phys. Chem. B, Vol. 104, 8351-8360 (2000)
Q	CT	T.S. Ahmadi et al., "Low Temperature Synthesis of Pure and Mn-Doped Willemite Phosphor (Zn2SiO4:mn) in Aqueous Medium", Mat. Res. Bull., Vol. 35, 1869-1879 (2000)

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	DK					

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						YES	No
	DL						
	DM						
	DN						
	DO						
	DP						

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Q	DR	"Novel Nanophosphors for High-Efficiency Fluorescent Lamps", Technical Proposal submitted to US DOE. April 14, 2003
Q	DS	K. Riwotzki et al., "Liquid Phase Synthesis of Colloids and Redispersible Powders of Strongly Luminescing LAPO4: Ce, Tb Nanocrystals, Angew. Chem. Int. Ed., Vol. 40, No. 3, 573 (2001)
Q	DT	M. Kottaisainy et al. "Yttrium Oxide: Eu3+ Red Phosphor by Self-Propagating High Temperature Synthesis, Mat. Res. Bull., Vol. 31, No. 8, 1013 (1996)

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	EL						
	EM						
	EN						
	EO						
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Q	ER	H. Tsuboi et al., "Synthesis and Fluorescence Properties of Eu ²⁺ - Complex-Doped - SiO ₂ Gels", J. Am. Ceram. Soc., Vol. 81, No. 5, 1197 (1998) -
Q	ES	C. Feldman et al., "Preparation of Sub-Micrometer LnPO ₄ Particles (Ln=La,Ce)", J. Mat. Sci., Vol. 37, 3251 (2002)
Q	ET	S. Nichihama et al., "The Preparation of Rare Earth Phosphate Fine Particles in an Emulsion Liquid Membrane System", J. Mater. Chem., Vol. 12, 1053 (2002)

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	FM						
	FN						
	FO						
	FP						

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Q	FR	I.W. Lenggoro et al., "Synthesis of LaPO ₄ : Ce,Tb Phosphor Particles by Spray Pyrolysis", Mat. Lett., Vol. 50, 92 (2001)
	FS	
	FT	

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